



The New England Healthcare Internal Auditors (NEHIA) and the Healthcare Financial Management Association (HFMA) MA-RI Chapter are excited to host a 3-day educational conference bringing expert presenters from healthcare compliance, privacy, security, and of course, internal auditing. Each presentation is an opportunity for presenters to share their knowledge with all levels of conference attendees and for conference attendees to listen and learn best practices from some of the industry’s best experts. In addition to providing low cost, high quality educational sessions, NEHIA and HFMA MA-RI will work diligently to connect conference members with each other to create a strong community of healthcare professionals in New England.

Sessions-at-a-Glance

Wednesday, November 30, 2022

7:15 am to 8:15 am	Breakfast
8:15 am to 8:30 am	Welcome & Introduction
8:30 am to 9:30 am	Update on Recent Cybersecurity Risks to Healthcare Organizations
9:30 am to 10:30 am	Current Challenges to the Compliance & Internal Audit Officer
10:30 am to 10:45 am	Coffee Break
10:45 am to 11:45 am	Compliance Program Effectiveness & Healthcare Regulatory Compliance Hot Topics
11:45 am to 12:45 pm	Lunch
12:45 pm to 1:45 pm	Clinical Research Billing Basics and Auditing for Compliance
1:45 pm to 2:45 pm	Corporate Integrity Agreement Trends and Considerations for Compliance
2:45 pm to 3:00 pm	Coffee Break
3:00 pm to 4:00 pm	No More Surprise Bills
4:00 pm to 5:00 pm	Cybersecurity Risks - An Ever-Changing Concern For All!

Thursday, December 1, 2022

7:30 am to 8:30 am	Breakfast
8:30 am to 9:30 am	HIPAA Enforcement
9:30 am to 10:30 am	Privacy Officer Panel Discussion: Safeguarding Protected Health Information
10:30 am to 10:45 am	Coffee Break
10:45 am to 11:45 am	Overview of the Targeted Probe and Educate (TPE) Process
11:45 am to 12:45 pm	Lunch
12:45 pm to 1:45 pm	Redesigning Required Compliance Training
1:45 pm to 2:45 pm	ESG ad Human Rights: Risks, Opportunities, and Considerations
2:45 pm to 3:00 pm	Coffee Break
3:00 pm to 4:00 pm	Compliance and Privacy Collaboration with Institutional DEI Initiatives
4:00 pm to 5:00 pm	Successful Adoption of the 2023 E/M Changes
5:15 pm to 6:15 pm	Networking Reception

Friday, December 2, 2022

7:30 am to 8:15 am	Breakfast
8:30 am to 9:30 am	Healthcare Remote Employees: Does it Work?
9:30 am to 10:30 am	Provider Relief Fund - From No Strings Attached to Notification of an Audit
10:45 am to 11:45 am	Optimizing Data Governance to Mitigate Enterprise Risk
11:45 am to 12:45 pm	Building your compliance brand
12:45 pm to 1:00 pm	Box Lunch

Full Conference Agenda

Wednesday, November 30, 2022

7:15 - 8:15 am BREAKFAST

8:15 - 3:30 am Welcome & Introduction

Patricia Ariel, *President, New England Healthcare Internal Auditors (NEHIA)*

Karen Kinsella, *President, HFMA, Massachusetts-Rhode Island Chapter*

8:30 - 9:30 am Update on Recent Cybersecurity Risks to Healthcare Organizations

Linn Freedman, CIPP/US, *Partner / Chair Data Privacy & Cybersecurity, Robinson + Cole*

Ms. Freedman will outline recent cybersecurity risks to healthcare organizations, including details of how threat actors are attacking healthcare organizations, new schemes that are being used and vulnerabilities being exploited, and ways to minimize an attack. She will also discuss how healthcare entities are responding to the threats, and ways to prepare for an attack and its consequences.

Learning Objectives:

- Identify and assess the newest cybersecurity threats present to healthcare organizations
- Implement risk management and mitigation strategies for cybersecurity risks
- Develop take-aways for planning and preparation for a cyber attack

Field of Study: Specialized Knowledge – Technical

9:30 - 10:30 am Current Challenges to the Compliance & Internal Audit Officer

Patricia Ariel, *President, New England Healthcare Internal Auditors (NEHIA)*

Valerie Campbell,

Kyle Faget,

David Haig, *Vice President and Chief Compliance Officer, Dartmouth-Hitchcock Health*

Audra Hulme,

Healthcare has always been a field that is highly regulated and now throw in a Pandemic which has many in compliance and internal audit having to react and adjust quickly. So many of these changes have not only affected the catch up with the regulations but has changed a lot of the playing field, which include areas of staffing, system process and reaction time, to name a few. This panel will discuss some of these changes and how they affected the operations for both the Compliance and Internal Audit Officers. Members of the panel will discuss these real time issues.

Learning Objectives

- Discuss how the Pandemic posed challenges to the Compliance and Internal Officer
- What Operational challenges face the Compliance and Internal Officer
- How the industry experts, Compliance and Internal Officer have responded in addressing these operational challenges.

Field of Study: Personal Development – Non-technical

Julie Hamilton, CPC, FACHE, is the owner of Core Rising Coaching and will be sponsoring up to six (6) short fifteen (15) – twenty (20) minute career and leadership coaching sessions during the breaks and lunch opportunities during the conference. Julie is focused on working with individuals whether they are seeking a new opportunity, making a change in their career path or wanting to enhance their leadership skills. This will be offered free of charge on a first come, first served basis.

10:45 - 11:45 pm Compliance Program Effectiveness & Healthcare Regulatory Compliance Hot Topics

Wanda Cidor, Advisory Specialist Master, Deloitte & Touche LLP
Kelly Sauders, CPA, Partner, Deloitte & Touche LLP

During this session, participants will learn about the fundamentals of evaluating compliance program effectiveness. This will include looking at the design, good faith, and practicality of compliance programs. Additionally, a crosswalk between DOJ elements and OIG guidance will be provided, and a look at the compliance program effectiveness maturity framework will be discussed. Also, this session will provide a debrief to participants on current hot topics in regulatory compliance for health care organizations. We will review areas of active federal and state enforcement and focus, as well as look out at the regulatory agenda and landscape in the coming year. Topics will include coding and billing hot topics, ongoing COVID-19 and pandemic relief funding-related risk areas, a look at price transparency and the "no surprises" act, and highlights of changes in the IPPS/OPPS that have compliance implications.

Learning Objectives

- Understand compliance program effectiveness including DOJ guidance, OIG elements, and reviewing the compliance program effectiveness maturity framework
- Explore areas of current and changing regulatory risk and focus, including but not limited to COVID-related initiatives, coding and billing, and other hot topic areas
- Discuss approaches for incorporating emerging risks into risk assessment activities and audit workplan development, including example approaches for addressing select risk areas

Field of Study: Regulatory Ethics - Technical

11:45 - 12:45 pm LUNCH

Julie Hamilton, CPC, FACHE, Core Rising Coaching sessions continued.

12:45 - 1:45 pm Clinical Research Billing Basics and Auditing for Compliance

Beth Guttoff, MBA, CHRC, Chief Compliance & Privacy Officer, Elliot Health System
Theresa Stone, Manager, Research Compliance, Brigham & Women's Hospital

This session will provide a brief overview of Medicare's Clinical Trial Policy and other applicable regulations related to documentation and billing for patient care services associated with clinical trial participation. Attendees will learn about some basic research terms that can assist them in their understanding of clinical trials as it relates to the Clinical Trial Policy. We will also review with attendees some tools that can be used to assist in auditing the documentation, coding, and billing of these clinical trial related services to Medicare and other third party payers to ensure compliance with the regulations.

Learning Objectives

- Participants will gain an understanding of:

- Basic Research Terms
- Medicare's Clinical Trial Policy and associated billing and documentation requirements
- Some Key Clinical Trial Revenue Cycle Processes
- Auditing for Compliance with Regulations and Processes

Field of Study: Auditing - Technical

1:45 - 2:45 pm Corporate Integrity Agreement Trends and Considerations for Compliance

Gary Giampetruzzi, *Partner, Paul Hastings LLP*

Elizabeth Haley, *Vice President, Compliance, Culture & Ethics, CVS Health*

Dhara Satija, *CHC, CFE, Director, Paul Hastings LLP*

The presentation will provide an overview of recent healthcare enforcement activities/trends, along with key compliance considerations and leading practices for building and sustaining an effective compliance program and culture.

Learning Objectives:

- Overview of recent enforcement activities/trends
- Discuss key considerations and leading practices to help strengthen and sustain an effective compliance program and culture within an organization
- Learn from practical examples with key takeaways

Field of Study: Regulatory Ethics - Technical

2:45 - 3:00 pm BREAK

Julie Hamilton, *CPC, FACHE*, Core Rising Coaching sessions continued.

3:00 - 4:00 pm No More Surprise Bills: An Update on the Rollout of the No Surprises Act and How Providers, Payors and the Government Are Reacting

Nathaniel Arden, *Attorney, Robinson + Cole*

Connor Duffy, *Attorney, Robinson + Cole*

This presentation will address the recently-enacted No Surprises Act (NSA) and NSA regulations, including the regulatory requirements and expectations, enforcement trends, and how health systems are reacting to implement the various NSA requirements. The presentation will also address state-level issues that have arisen in connection with the NSA regulations, as well as the status of certain delayed regulatory provisions. Finally, the presentation will provide an update on legal challenges to certain NSA provisions, and discuss how other market participants may be using NSA regulations as part of contractual negotiations and network design.

Learning Objectives

- Requirements and expectations of NSA regulations
- State-level issues in regulatory provisions
- NSA regulations in contractual negotiations and network design

Field of Study: Auditing – Technical

Ray Gandy, GCCC, MBA, Director, and Leader of the IT Risk & Assurance Practice, CBIZ/MHM

Cybersecurity data breaches and operational impacts are reported in the news almost daily. Many breaches are not reported and many more are unknown. Regulators, auditors, business leaders, boards, and consumers continue to play catch-up with the fast-changing pace of technological advances and organized approach that hackers are using to steal data for financial profit. This presentation will focus on the latest statistics, trends, and controls to help mitigate these risks and better prepare all constituents against data loss and business disruption.

Learning Objectives

- Understand the latest Cybersecurity statistics, trends, and examples that hackers are using to steal data and impact business operations.
- Discuss Cybersecurity control frameworks and prioritized controls in protecting and mitigating against cyber risks.
- Provide practical guidance in discussing and assessing internal controls regarding cybersecurity.

Field of Study: Regulatory Ethics - Technical

Thursday, December 1, 2022

Erin Walker, Supervisory Equal Opportunity Specialist, US Department of Health & Services, Office for Civil Rights

The Office for Civil Rights will provide an informational overview on areas of emphasis for the Privacy Rule Right of Access, access to medical records, uses and disclosures of health information, enforcement trends, and recent enforcement actions and discussion.

Learning Objectives

- Understanding the HIPAA Privacy, Security and Breach Notification Rules
- Understanding that HIPAA Privacy Rule Right of Access
- Recent OCR Enforcement actions and compliance trends

Field of Study: Auditing (Governmental)- Technical

Kelly Breslin, Privacy Officer, Southcoast Health

Nancy Dunn, MBA, CHC, CHPC, Manager and System Privacy Officer, Yale New Haven Health System

Christine Rough, MBA, CHPC, OCI System Director, Privacy Officer, Hartford HealthCare

Donna Schneider, RN, MBA, CPHQ, CPC-P, CHC, CPCO, CHPC, CCEP, Vice President, Corporate Compliance & Internal Audit, Lifespan Health System

1. It takes a village - everyone plays a role in protecting the privacy of patient information
2. Weave Privacy into the fabric of the organization - ongoing education reminders to keep management and staff on their toes
3. Never say "never" - about the time you think you've seen it all.....!
4. On the horizon - challenges of health information organizations and exchanges

Learning Objectives

- Understand organizational structures in current health care settings
- Understand current challenging privacy issues
- Understand advice for new privacy officers

Field of Study: Personal Development – Non-technical

10:30 - 10:45 am **BREAK**

Julie Hamilton, CPC, FACHE, Core Rising Coaching sessions continued.

10:45 - 11:45 am **Overview of the Targeted Probe and Educate (TPE) Process**

Christine Janiszczak, Provider Outreach & Education Consultant, National Government Services, Inc.

In this presentation, National Government Services, Inc. will provide an overview of our Targeted Probe and Educate (TPE) process so providers can understand why you may be selected for a TPE review, how to successfully participate in a TPE review, and how to benefit from a TPE review. We will also share some common documentation and/or billing errors we have identified in TPE reviews so you can prevent these errors in the future and ultimately reduce your Medicare claim denials and appeals.

Learning Objectives

- Understand the targeted probe and educate (TPE) process so you can comply with the necessary actions and timeframes
- Discover common provider errors identified in the TPE process so you can prevent them and reduce your Medicare claim denials/appeals
- Know where you can find helpful TPE-related tools and resources

Field of Study: Auditing (Governmental)- Technical

11:45 - 12:45 pm **LUNCH**

Julie Hamilton, CPC, FACHE, Core Rising Coaching sessions continued.

12:45 - 1:45 pm **Redesigning Required Compliance Training: Challenges, Opportunities and the Power of Collaboration**

Tully Saunders, Compliance Program Manager, Memorial Sloan Kettering Cancer Center

Through the experience of a recent Compliance-led redesign of a hospital's required compliance training program, identify common challenges and proposed solutions, highlight areas of opportunity for continuous improvement and explore how collaboration and communication can improve required training reception, compliance, and business relationships. Real life examples will be used to illustrate topics and leading practices discussed.

Learning Objectives

- Understand challenges and opportunities associated with required compliance training
- Use real life experience to examine approaches to required training development, delivery and management
- Highlight opportunities for Compliance to collaborate across functions towards shared goals
- Provide examples of activities to evaluate and improve existing required compliance training programs

Field of Study: Communications and Marketing – Non-technical

1:45 - 2:45 pm

ESG and Human Rights: Risks, Opportunities, and Considerations

Tara Giunta, *Partner, Paul Hastings LLP*

Legal, regulatory and investor pressures are increasing in momentum and intensity, and are creating both risks and opportunities. This session will help participants understand how ESG impacts healthcare and life sciences organizations, their business and their customers, as well as how to develop strategies and mitigate ESG risks.

Learning Objectives:

- Understand the accelerating legal and regulatory landscape
- Learn how to identify ESG risks affecting your business and develop a strategy for addressing
- Learn how compliance and internal audit can play a role in managing ESG risks/initiatives

Field of Study: Specialized Knowledge – Technical

2:45 - 3:00 pm

BREAK

Julie Hamilton, CPC, FACHE, Core Rising Coaching sessions continued..

3:00 - 4:00pm

Compliance and Privacy Collaboration with Institutional DEI Initiatives

Michael Dwyer, CHC, CHPC, *Director Privacy & Compliance Operations, Lifespan*

Alli Jean, *IRB Navigator and Outreach Specialist, Anti-Racism and Health Equity Collaborative (ARHE) Co-Chair, Lifespan*

Sonya Wallace, M. Ed., *Senior Manager, People Advisory Service, Ernst & Young LLP*

Discussion and presentation of Lifespan's DEI initiatives and the steps the Corporate Compliance and Privacy Office has taken to:

- 1) Track discrimination and harassment cases
- 2) Present this data
- 3) Monitor trends
- 4) Review how compliance has collaborated with Human Resources and the ARHE Collaborative.
- 5) High level discussion of DEI (Diversity, Equity, and Inclusion) and ways they relate to your compliance program
- 6) Steps taken to make integrate DEI initiatives into the compliance program

Learning Objectives

- Dashboard for Tracking Discrimination and Harassment Cases
- Ensuring Individuals Can Safely and Anonymously report potential issues
- Planning required collaborations within your organization

Field of Study: Personnel/Human Resources – Non-technical

4:00 - 5:00 pm

Successful Adoption of the 2023 E/M Changes

Pam D'Apuzzo, CPC, ACS-EM, ACS-MS, CPMA, *Managing Director, VMG Health*

The session will provide strategies for mitigating risks and highlight the following areas:

- Overview of the guideline changes for Inpatient/Nursing Facility/ER/Home visits
- Education and Training
- Monitoring and Auditing
- Policy and Procedure Updates

Learning Objectives

- Identify 2023 E/M risk areas
- Audit and monitoring strategies
- 2023 Compliance planning

Field of Study: Auditing (Governmental)- Technical

Friday, December 2, 2022

7:15 - 8:15 am BREAKFAST

8:15 - 8:30 am Welcome to Day 3

8:30 - 9:30 am Compliance Programs in Organizations Outside of Healthcare
Healthcare Remote Employees: Does it Work?

Cindy Casale, *Program Manager, Human Resources Regulatory & Compliance, Dartmouth Health*

Cathryn Eaton, MBA, CHC, *Compliance Program Manager, Dartmouth Health*

During the public health emergency, many employers temporarily shifted employees into a remote worker status. Learn one healthcare organization's strategy to permanently convert a subset of employees to remote workers. We will share how we organized, operationalized and currently manage this work and of course sharing a few pearls of wisdom.

Learning Objectives

- Vision and Guiding Principles for remote work
- Determine the use of internal or external resources
- Criteria and identification of roles for remote work
- Audit phases
- State nuances

Field of Study: Personnel/Human Resources – Non-technical

9:30 - 10:30am Provider Relief Fund - From No Strings Attached to Notification of an Audit

Gary Burke, CPA, CFE, *Partner - Forensic and Integrity Services, Ernst & Young LLP*

Taylor Salmon, MBA, CFE, CAMS, *Senior Manager - Forensic and Integrity Services, Ernst & Young LLP*

In this presentation, we will explore best practices to prepare for a Provider Relief Fund audit, what to do if you are notified of an audit, and steps you can take to ensure a smooth process. Provider Relief Fund audits have begun and will continue to occur over the next several years. Federal agencies spanning multiple departments have distributed unprecedented funding and are now looking to ensure the money was spent according to the various program terms and conditions.

Learning Objectives

- Background and timeline of the Provider Relief Fund
- Documentation best practices to prepare for Provider Relief Fund audits
- Steps to take now to ensure a smooth audit process

Field of Study: Auditing - Technical

10:45 - 11:45 am Optimizing Data Governance to Mitigate Enterprise Risk

Mark Cesaro, MBA, Director, Data Governance, Hartford HealthCare

Anthony Mio, MBA, CHC, CIA, CIPP/US, Chief Compliance Officer, Hartford HealthCare

The goal of this session is to highlight data as a strategic asset that can provide a competitive advantage to an organization when used properly. The presentation will focus on data governance through multiple lenses - first from an enterprise risk perspective - highlighting the importance of leveraging data to inform strategic objectives and initiatives; second from an operational risk perspective in ensuring the proper structure is in place to optimize the data governance function; and third from a regulatory risk perspective in ensuring the proper internal controls, monitoring and oversight is in place to support a compliant process. This will be done through discussing the evolution of Data Governance at Hartford HealthCare, the supporting structure that has been put in place, and the role of compliance, privacy and internal audit as an oversight function.

Learning Objectives

- Assess the state and maturity of Data Governance at your organization
- Understand the strategic, operational and regulatory risks associated with data governance
- Understanding the role of compliance, privacy and internal audit as partners in the data governance function

Field of Study: Specialized Knowledge – Technical

11:45 - 12:45 pm Building Your Compliance Brand

Kaitlin McCarthy, CHC, Associate Deputy Compliance Officer, Beth Israel Lahey Health

Cara Merski, MBA, Deputy Chief Compliance Officer, Beth Israel Lahey Health

Whether your program is newly integrated or a well-established function, a deliberate approach to branding compliance program activities can have a meaningful impact on employee recognition and influence quality reports to compliance. This presentation will present three components in the branding life cycle and examples of each in practice: defining your compliance brand, identifying and leading compliance brand initiatives, and establishing and evaluating metrics to prove effectiveness.

Learning Objectives

- Learn approaches and considerations to define your brand as a compliance program
- Establish brand strategy, goals, and projects to improve recognition and awareness
- Discuss measures to evaluate success and opportunities for improvement

Field of Study: Communications and Marketing – Non-technical

Registration:

Registration is Available Online at www.MA-RI-HFMA.org/Event-Registration. Whether paying by check or credit card, enter your information and registration online. For those paying by check, the system will automatically generate an invoice link which can be found on your confirmation email.

Registration Fees:

Early-Bird – Prior to September 16th at 5:00 pm

\$430 Full Conference

\$225 One Day Registration

Registration after September 16th

\$450 Full Conference

\$225 One Day Registration

Cancellations or Substitutions:

No refunds will be given for “no shows.” Cancellations processed within the registration system by November 22, 2022 will be issued a refund less a \$50 cancellation fee. NO REFUNDS FOR CANCELLATIONS AFTER November 22, 2022. NEHIA/HFMA reserves the right to cancel any event due to insufficient registration or any unforeseen circumstances. In the unlikely event of cancellation, NEHIA/HFMA are not responsible for any costs, damages, or other expenses of any kind, including, without limitation, transportation and/or hotel costs incurred by registrant. Speakers are subject to change without notice. You may send a substitute if you are unable to attend yourself.

Continuing Educational Credits:

This program qualifies for 21.6 live Compliance Certification Board (CCB)®, 18 American Academy of Professional Coders (AAPC)® CEUs and 21.6 NASBA Credits.

NASBA Continuing Education Credits – Up to 21.6 Group Internet Based Presentation	
Category	CPEs
Auditing – Technical	3.6
Auditing (Governmental) – Technical	3.6
Communications and Marketing – Non-technical	2.4
Personal Development – Non-technical	2.4
Personnel/Human Resources – Non-technical	2.4
Regulatory Ethics - Technical	3.6
Specialized Knowledge - Technical	3.6
Program Level: Intermediate	
Pre-requisite Requirements: No advanced preparation necessary	
To obtain CPE Credits, attendees must attend the live webinar session and answer all polling questions. Some attendees are having trouble answering polling questions on a Mac computer. In order to view polling questions, you must use the downloaded version of Zoom and not view directly in an internet browser.	

HFMA MA-RI National Sponsor Registry Number: 129053

HFMA Massachusetts-Rhode Island is registered with the National Association of State Boards of Accountancy (NASBA) as a sponsor of continuing professional education on the National Registry of CPE Sponsors. State Boards of Accountancy have the final authority on the acceptance of individual course for CPE credit. Complaints regarding registered sponsors may be submitted to the National Registry of CPE Sponsors through its website: <http://www.nasbaregistry.org>.

Contacting the Office:

Any questions, concerns, or complaints can be addressed to admin@ma-ri-hfma.org or **781-647-4422**